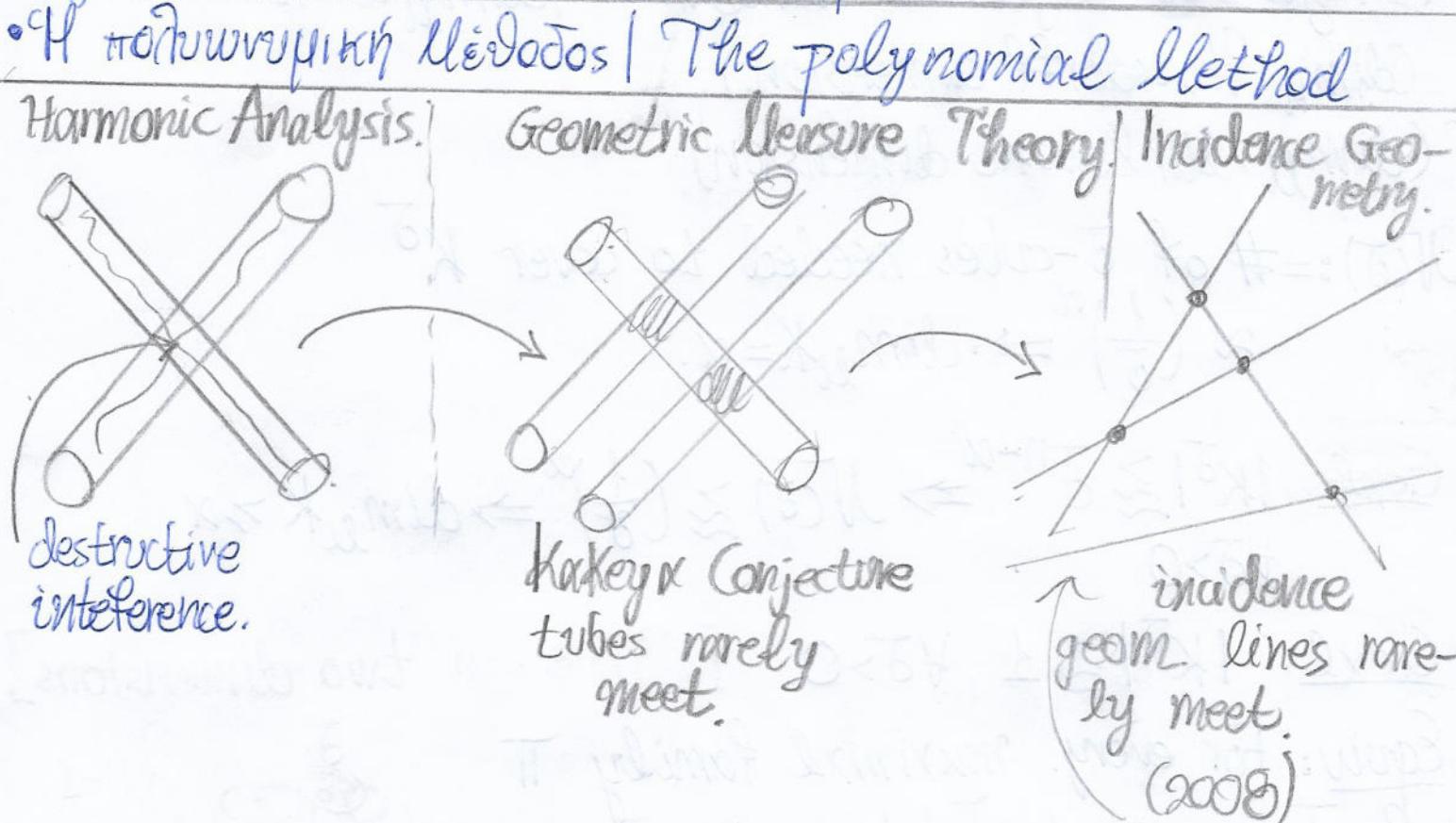


Λαζαρίδη Κλιονούλων | Λαζαρίδη Κλιοπούλου.

1/7/2024.



Notation: $A_m \lesssim B_m \Leftrightarrow A_m \leq C B_m$ depends only on dimension.

$A_m \gtrsim B_m \Leftrightarrow B_m \leq A_m$.

$A_m \sim B_m \Leftrightarrow C B_m \leq A_m \leq G B_m \forall m$.

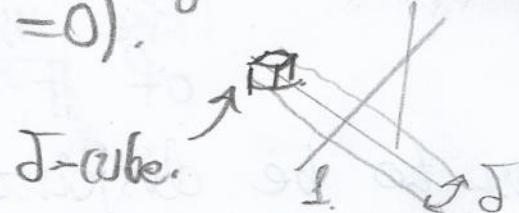
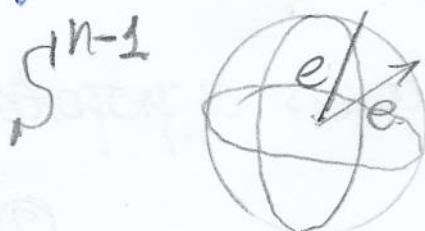
(Ex: $\binom{n}{2} = \frac{n(n-1)}{2} \sim n^2$, $10 \sim 1$) (We don't care about multiplicative constants, only

$A(\mathcal{O}) \lesssim B(\mathcal{O}) : A(\mathcal{O}) \leq C \cdot (\log \frac{1}{\delta})^c \cdot B(\mathcal{O})$ for powers)

(Ex: For us, $\log \frac{1}{\delta} \approx 1$, $\log R \approx 1$).

Definition: A Kakeya set in \mathbb{R}^n is a compact set containing a unit line segment in each direction.

(A Kakeya set can have Leb. measure = 0).



①

Kakeya set conjecture: $\dim_H K = n$, $\dim_M K = n$.
 (\dim_H : Hausdorff dimension).
 (\dim_M : Minkowski dimension)

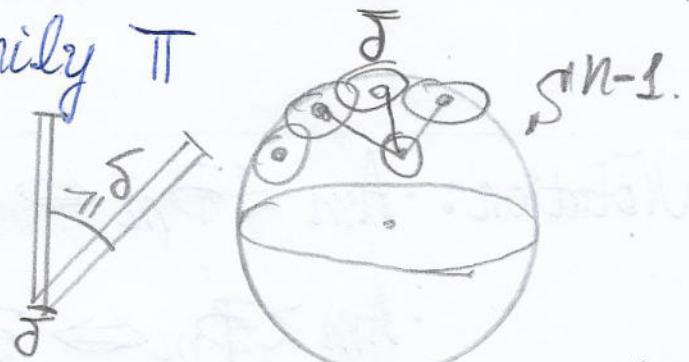
$N(\delta) := \#$ of δ -cubes needed to cover K^δ
 $\approx \left(\frac{l}{\delta}\right)^\alpha \Rightarrow \dim_M K = \alpha.$

If $|K^\delta| \gtrsim \delta^{n-\alpha} \Rightarrow N(\delta) \gtrsim \left(\frac{1}{\delta}\right)^\alpha \Rightarrow \dim_M K \geq \alpha$.
 $\forall \delta > 0$.

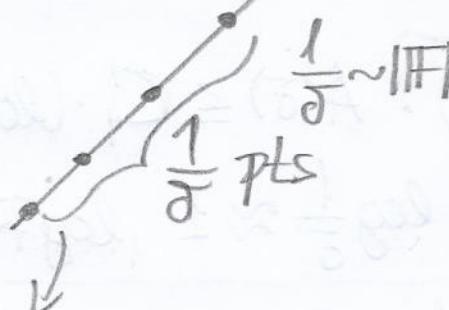
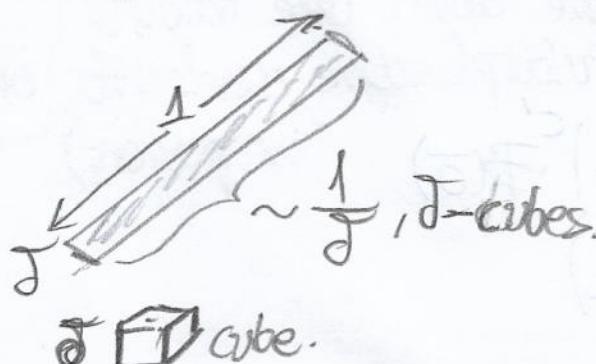
Goal: $|K^\delta| \gtrsim 1$, $\forall \delta > 0$. [True in two dimensions]

Equiv: For every maximal family Π
 of δ -separated, δ -tubes,

$$|\bigcup_{T \in \Pi} T| \gtrsim 1. \left(\sum_{T \in \Pi} |T| \right)^{(*)}.$$



{Thinking of simplifying the problem...}
 Looking for a discrete setting where: \mathbb{F}^n
 (finite field)
 $\#\Pi \sim \frac{1}{\delta^{n-1}}$
 $|\Pi| \sim \delta^{n-1}$



K : 1 line in each direction

$$\#K \gtrsim |\mathbb{F}|^n$$

(the union covers a proportion
 of $|\mathbb{F}|^n$)

(*): δ -tubes seem to be disjoint.

②

* In a finite field we define:

$$l_{\vec{\alpha}, \vec{b}} := \{ \vec{\alpha} + t \cdot \vec{b} \mid t \in \mathbb{F} \}$$

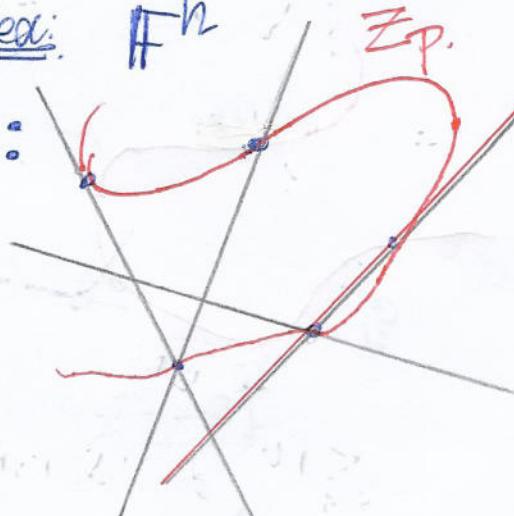
↓ direction of line

{ direction in a
finite field }

**

Ideal: \mathbb{F}^n

S' :



① Find a low degree polynomial.

$p \in \mathbb{F}[x_1, x_2, \dots, x_n]$ vanishing on S' (set of points).

② See how this vanishing spreads out to the lines.

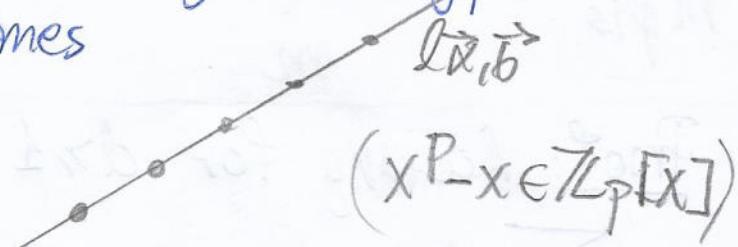
Lemma: If $0 \neq p \in \mathbb{F}[x_1, \dots, x_n]$ vanishes on some line

$l = l_{\vec{\alpha}, \vec{b}}$ $> \deg p$ times, $p|_{l_{\vec{\alpha}, \vec{b}}} = 0 \in \mathbb{F}[t]$

If $p|_{l_{\vec{\alpha}, \vec{b}}} (t) = p(\vec{\alpha} + t \cdot \vec{b})$ has degree $\leq \deg p$

so if it vanishes $> \deg p$ times

$\Rightarrow p|_{l_{\vec{\alpha}, \vec{b}}} = 0$.



(3) $\forall l \rightarrow l \subseteq \mathbb{Z}_p$ | structure

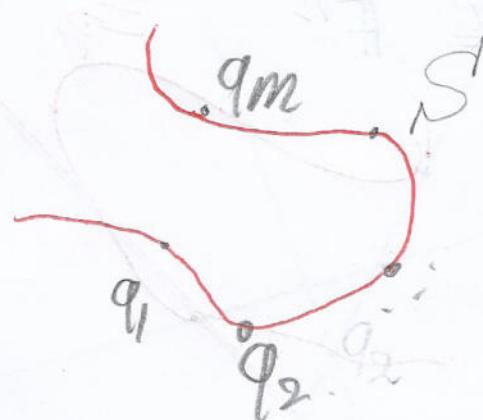
$\rightarrow \# S'$ is small | control $\# S$.

③

How is this all connected to the Takayama Problem?

Dvir's vanishing Lemma: Let $S \subseteq \mathbb{F}^n$ be a set of m points. Then, $\exists 0 \neq p \in \mathbb{F}[x_1, \dots, x_n]$, $\deg(p) \leq m^{1/n}$ vanishing on S .

$$n=1: \quad x_1 \quad x_2 \quad \dots \quad x_m \\ (x-x_1)(x-x_2) \dots (x-x_m)$$



$$n=2: \quad y_1 \quad \dots \quad y_m \\ (y-y_1)(y-y_2) \dots (y-y_m).$$

m

m pts.

Proof: Looking for $d \geq 1$ and $p(x_1, x_2, \dots, x_n) =$

$$= \sum_{\alpha_1 + \dots + \alpha_n \leq d} c_{\alpha_1, \alpha_2, \dots, \alpha_n} x_1^{\alpha_1} \dots x_n^{\alpha_n} \rightarrow \text{Unknowns.}$$

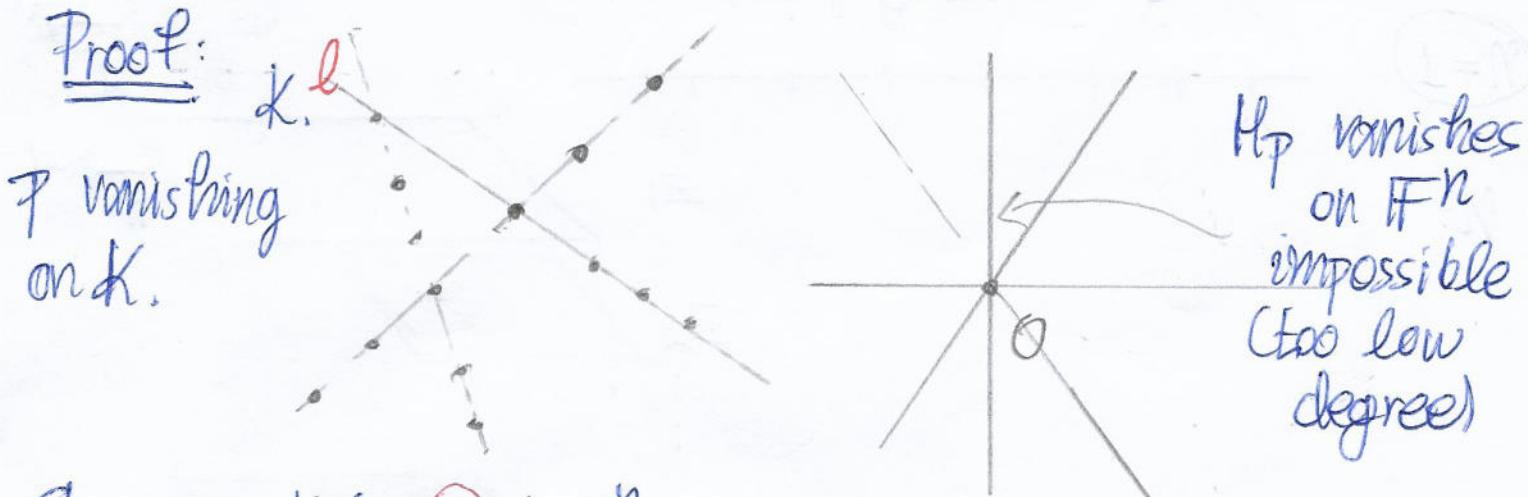
s.t. $\begin{cases} p(q_1) = 0 \\ p(q_2) = 0 \\ \vdots \\ p(q_m) = 0. \end{cases}$ } $\leadsto m$ linear equations with $(d+n)$ unknowns

We are ok as long as $d^n \geq m \Leftrightarrow d \geq m^{1/n}$

Pick $\boxed{\{d \geq m^{1/n}\}}$

Theorem (Dvir, 2008): Let $K \subseteq \mathbb{F}^n$ be a Kakeya set (\mathbb{F} : finite field). Then $|K| \geq |\mathbb{F}|^n$

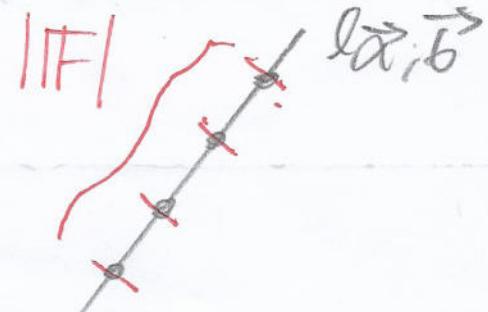
Proof:



Suppose $|K| < C_n \cdot |\mathbb{F}|^n$

$\exists \phi \neq p \in \mathbb{F}[x_1, \dots, x_n], \deg(p) \leq A_n \cdot |K|^{\frac{1}{n}} < A_n \cdot C_n^{\frac{1}{n}} \cdot |\mathbb{F}| \stackrel{\leq 1}{\leq} |\mathbb{F}|$, vanishing on K .

$\forall \vec{b} \in \mathbb{F}^n \setminus \{0\}, \exists \vec{\alpha}, \vec{b} \subseteq K$
 $\Rightarrow P$ vanishes $|\mathbb{F}| > \deg(p)$ times
 on $\vec{\alpha}, \vec{b} \Rightarrow P_{|\vec{\alpha}, \vec{b}} = 0 \in \mathbb{F}[t]$.

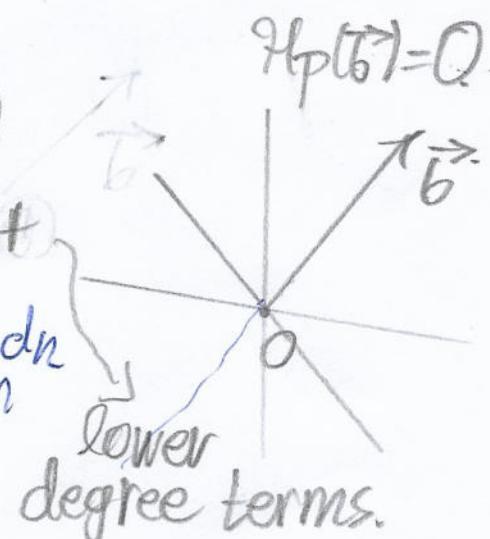


$H_p(x_1, \dots, x_n) :=$ homogeneous part of p , of degree = $= \deg(p)$.

$\Delta H_p(\vec{b}) = 0$

$P_{|\vec{\alpha}, \vec{b}}(t) = P(\vec{\alpha} + t \cdot \vec{b}) = t^{\deg(p)} \cdot H_p(\vec{b}) +$

$P(x_1, \dots, x_n) = \sum_{d_1 + \dots + d_n \leq \deg(p)} c_{d_1, d_2, \dots, d_n} \cdot x_1^{d_1} \cdots x_n^{d_n}$



Schwartz-Zippel lemma: Let $0 \neq g \in \mathbb{F}[x_1, \dots, x_n]$
 Then, $\# Z_g \leq \deg(g) \cdot |\mathbb{F}|^{n-1}$

(n=1):



\mathbb{F}^n

n:

Z_1

Z_2

:

$Z_{\deg(g)}$

\mathbb{F}^{n-1}

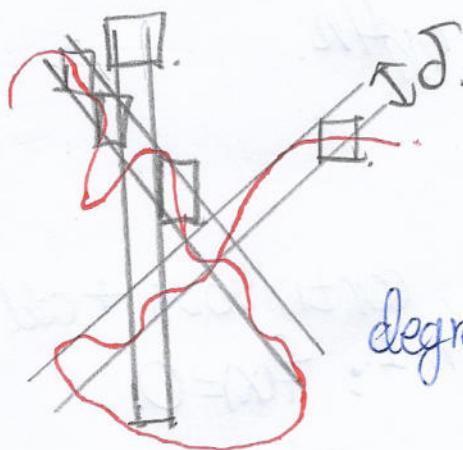
$$g(x) = (x_n - z_1) \cdots (x_n - z_{\deg(g)})$$

By S-Z lemma: $\# Z_{H_P} \leq \deg_{H_P} \cdot |\mathbb{F}|^{n-1} \leq |\mathbb{F}|^n$
 $\deg(H_P) \leq |\mathbb{F}|$.

End of Part I.

The Polynomial Method [Part II]

Adapt to the Kakeya conj. ($|UT| \gtrsim 1$):



$$\text{Goal: } \#Q \approx \left(\frac{1}{\delta}\right)^n$$

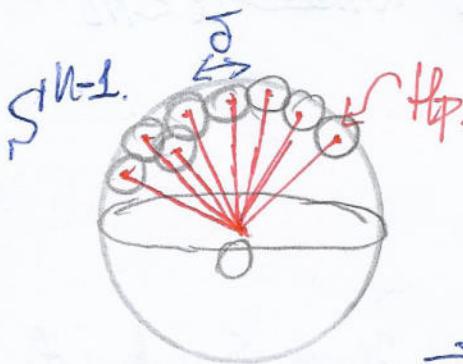
① Find a polynomial p of low degree. $\#Q^{4n}$ s.t. Z_p bisects each J -cube in Q .

② Show: H_p vanishes on $\text{clif}(T)$ $\forall T \in \mathcal{T}$

③ Show this is impossible.

WongKew's theorem:

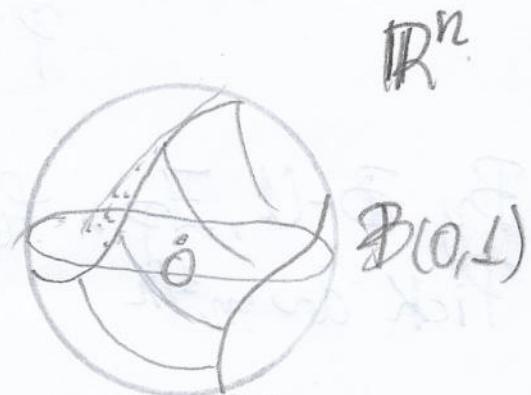
$$|\cup_{\mathcal{T}} (Z_p \cap B(0,1))| \leq \deg(p) \cdot J.$$



$$|\cup_{\mathcal{T}} (Z_{H_p} \cap B(0,1))| \sim 1.$$

$$\lesssim \deg(p) \cdot J \lesssim \#Q^{4n} \cdot J.$$

$$\Rightarrow \#Q \gtrsim \left(\frac{1}{\delta}\right)^n$$



Z_p

$$\nexists l \subseteq T: p_{1l} = 0.$$

Proposition: Let S_1, S_2, \dots, S_m be sets $\subseteq \mathbb{R}^n$ of finite, positive volume [Figure]

Then, $\exists \text{Otp } p \in \mathbb{R}[x_1, \dots, x_n], \deg(p) \leq m^{1/n}$.

s.t: $\forall i, |S'_i \cap \{p > 0\}| = |S'_i \cap \{p < 0\}|$

Borsuk-Ulam: Let $f: S^{n-1} \rightarrow \mathbb{R}^{n-1}$, continuous + odd

Then, $\exists x \in S^{n-1}: f(x) = 0$.

P of $\deg \leq d \rightsquigarrow \binom{d+n}{n} \sim d^n$

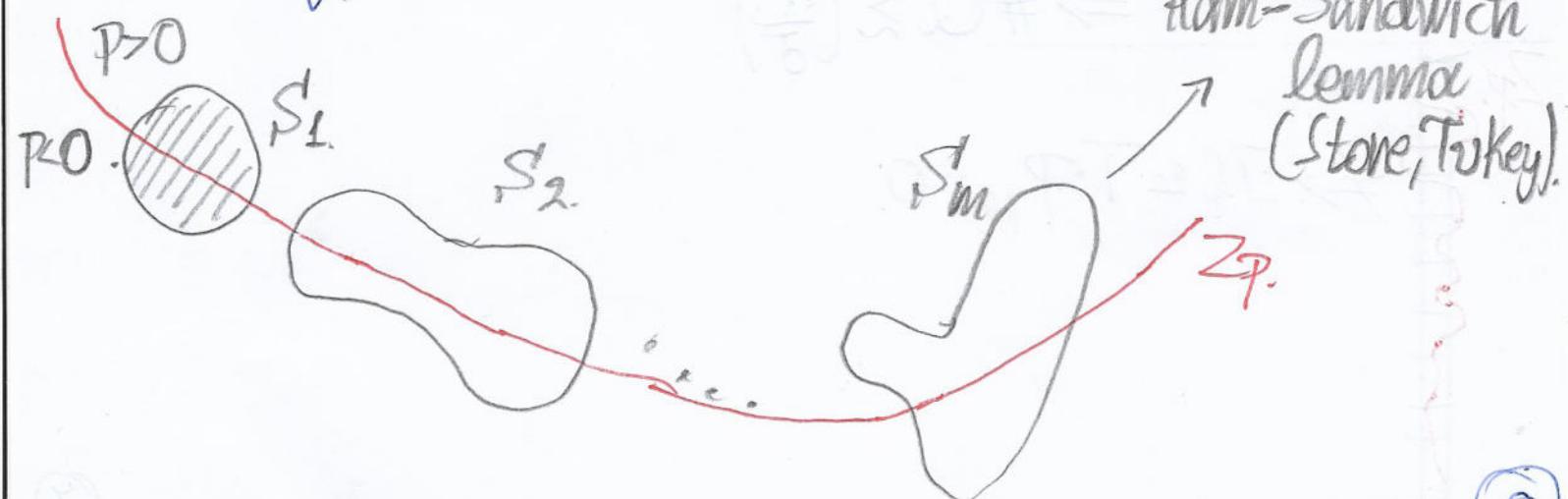
coeff. $\in \mathbb{R}^{d^n}$

$\rightarrow f: S^{d^n-1} \rightarrow \mathbb{R}^m$

P $\mapsto (|S'_1 \cap \{p > 0\}| - |S'_1 \cap \{p < 0\}|, \dots, |S'_m \cap \{p > 0\}| - |S'_m \cap \{p < 0\}|)$

By B-U, $\exists p: f(p) = 0$ as long as $d^n \geq m \Rightarrow d \geq m^{1/n}$
Pick $d \approx m^{1/n}$

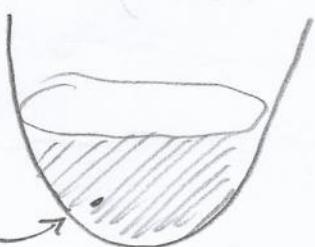
[figure]:



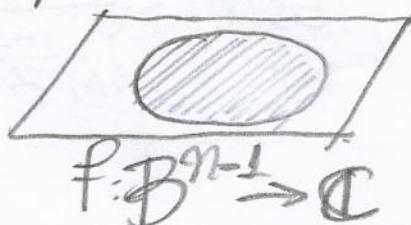
Harmonic Analysis Approach.

Restriction Conjecture: understanding the Fourier transform of functions defined on curves.

\mathbb{R}^n



$(w, |w|^2)$



$f: B^{n-1} \rightarrow \mathbb{C}$

E: extension operator.

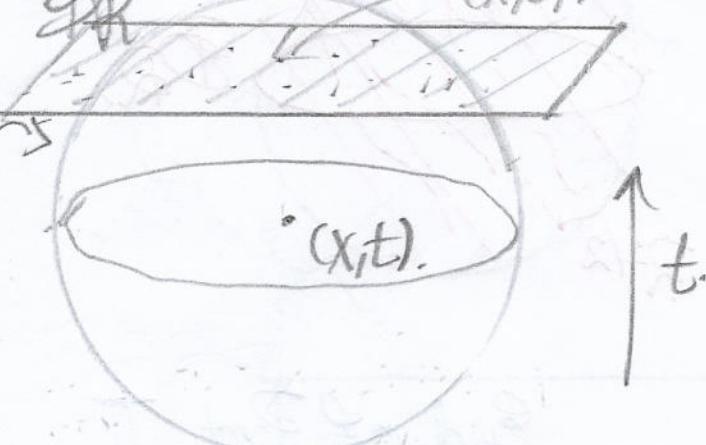
(x, t)

\mathbb{R}^n

$$Ef(x, t) = \int_{B^{n-1}} e^{-2\pi i \langle (x, t), (w, |w|^2) \rangle} f(w) dw.$$

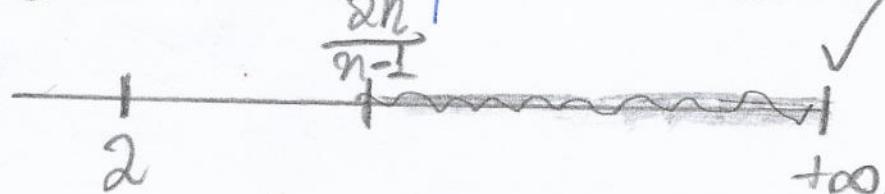
We'll be focusing on:

\mathbb{R}^n (x, t)



$$\|Ef\|_{L^p(\mathbb{R}^n)}$$

Q: For what p do we have



$$\|Ef\|_p \lesssim \|f\|_p ?$$

$$|Ef(x, t)| \leq \|f\|_1 \leq \|f\|_\infty$$

$$Ef(x, t) = \int_{B^{n-1}} e^{-2\pi i \langle (x, t), (w, |w|^2) \rangle} \cdot [e^{-2\pi i t \cdot |w|^2} f(w)] dw = \hat{f}_t(x), \forall x \in \mathbb{R}^{n-1}$$

$$\Rightarrow \|Ef(\cdot, t)\|_2 = \|\hat{f}_t\|_2 = \|\varphi_t\|_2 = \|\varphi\|_2 \Rightarrow$$

(Plancherel)

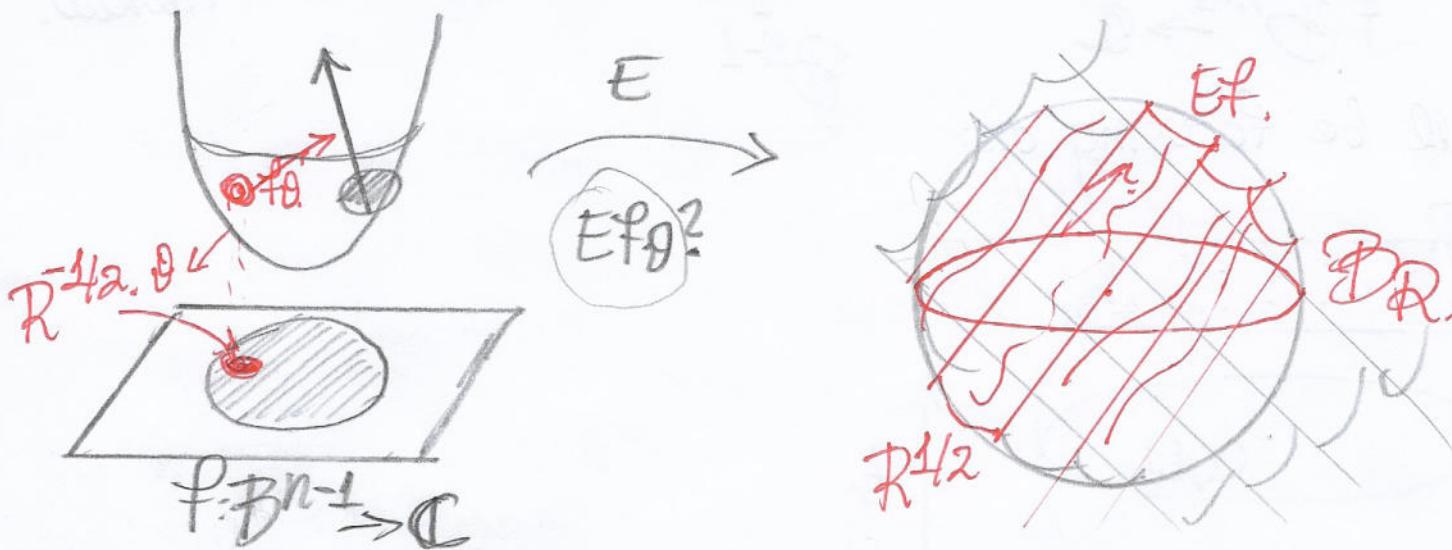
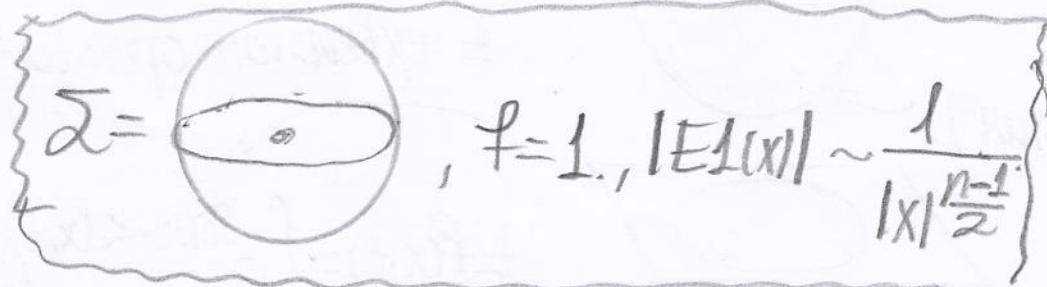
$$\Rightarrow \|Ef\|_{L^2(\mathbb{R}^{n-1} \times \mathbb{R})} \sim \mathbb{R}^{1/2} \cdot \|\varphi\|_2.$$

⑨

Restriction Conjecture: Let \mathcal{I} be a hyperplane in \mathbb{R}^n of non-vanishing Gaussian curvature. Then,

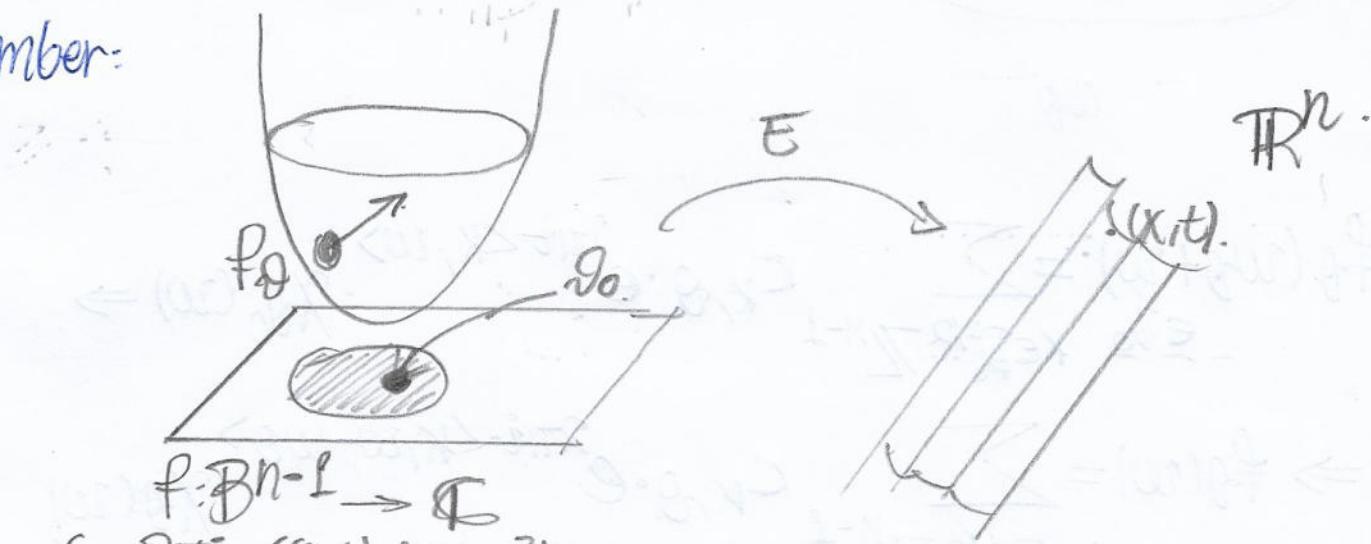
$$\|Ef\|_{L^p(B_R)} \lesssim \|f\|_p, \forall R > 1$$

$$H_p \geq \frac{2n}{n-1}$$



End of Part II

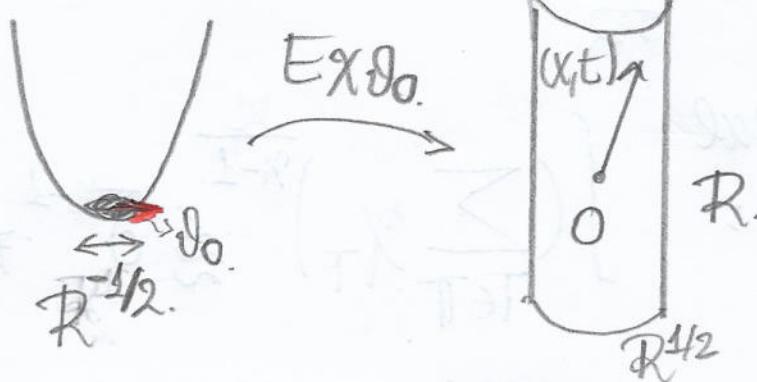
Remember:

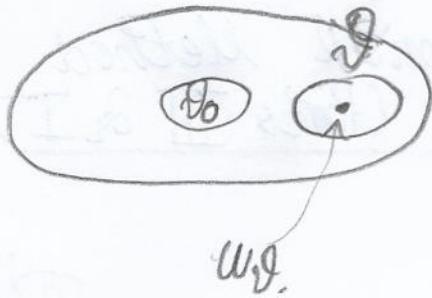
 $E f_0$.

$$\text{Hg: } [0,1]^{n-1} \rightarrow \mathbb{C}, g(y) = \sum_{k \in \mathbb{Z}^{n-1}} c_k \cdot e^{2\pi i \langle k, y \rangle}$$

$$\cdot f_{f_0}\left(\frac{y}{R^{1/2}}\right) = \sum_{k \in \mathbb{Z}^{n-1}} c_k \cdot e^{2\pi i \langle k, y \rangle} \underset{w := \frac{y}{R^{1/2}}}{=}.$$

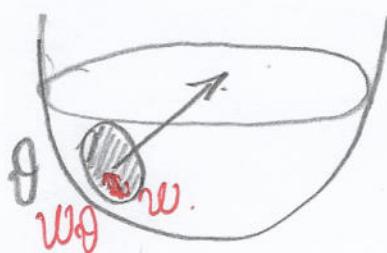
$$= \sum_{k \in \mathbb{Z}^{n-1}} c_k \cdot e^{2\pi i \langle k, R^{1/2} w \rangle} \cdot X_{f_0}(w) \sum_{k \in R^{1/2} \cdot \mathbb{Z}^{n-1}} c_k \cdot e^{2\pi i \langle k, w \rangle} \cdot X_{f_0}(w)$$

 $(\forall y \in [0,1]^{n-1}).$ Motivation:



$$f_0(w_0 + w) = \sum_{\theta \in \mathbb{D}_0} \sum_{k \in \mathbb{R}^{1/2} \cdot \mathbb{Z}^{n-1}} c_{k, \theta} \cdot e^{2\pi i \langle k, w \rangle} \cdot \chi_{k, \theta}(w) \Rightarrow$$

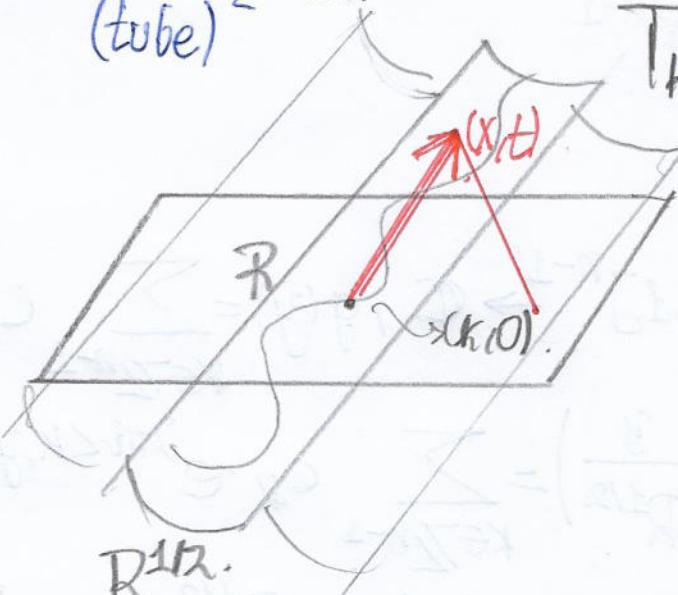
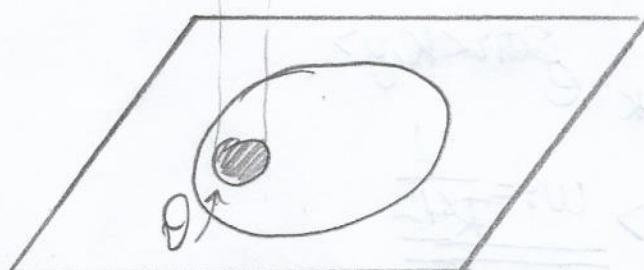
$$\Rightarrow f_0(w) = \sum_{k \in \mathbb{R}^{1/2} \cdot \mathbb{Z}^{n-1}} c_{k, \theta} \cdot e^{2\pi i \langle k, w - w_0 \rangle} \cdot \chi_{k, \theta}(w)$$



$\vdash f_{k, \theta}$

(tube)

$T_{k, \theta}$



Claim: $|Ef_{T_{k, \theta}}(x, t)| \sim \text{const. and supported in } T_{k, \theta}$.

Restriction Conj: $\int |Ef|^{\frac{2n}{n-1}} \lesssim \int |f|^{\frac{2n}{2n-1}}$. $f: \mathbb{B}^{n-1} \rightarrow \mathbb{C}$

Kochberg maximal operator conj.:

$$\int \left(\sum_{T \in \mathcal{T}} \chi_T \right)^{\frac{n}{n-1}} \lesssim \delta^{n-1} \cdot \# \mathcal{T}, \text{ for } (*)$$

Q2

any δ -separated family Π of δ -tubes.

\rightsquigarrow Kakemya max. \Rightarrow Kakemya set conj: Let $K \subseteq \mathbb{R}^n$
Kakemya set Goal: $|K\delta| \gtrsim 1$.

(*) $\delta^{n-1} \cdot \#\Pi = \sum_T |\Pi| = \int (\sum_{T \in \Pi} X_T)^{\frac{1}{n-1}}$, so on avg,

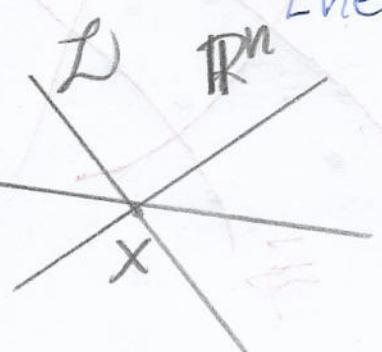
$\forall x \in \mathbb{R}^n$, $\#\{\text{tubes in } \Pi \text{ through } x\} \approx 1$.

Take α maximal δ -separated family Π of δ -tubes
in $K\delta$.

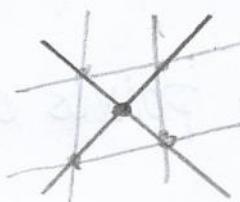
$$\begin{aligned} \# \Pi \cdot \delta^{n-1} &= \int_{K\delta} \sum_{T \in \Pi} X_T \leq \left[\underbrace{\int (\sum_{T \in \Pi} X_T)^{\frac{n}{n-1}}}_{\approx \delta^{n-1} \cdot \#\Pi} \right]^{\frac{n-1}{n}} \cdot |K\delta|^{\frac{1}{n}} \leq \\ &\leq (\delta^{n-1} \cdot \#\Pi)^{\frac{n-1}{n}} \quad (\approx 1) \end{aligned}$$

$$|K\delta|^{\frac{1}{n}} \Rightarrow |K\delta| \gtrsim 1.$$

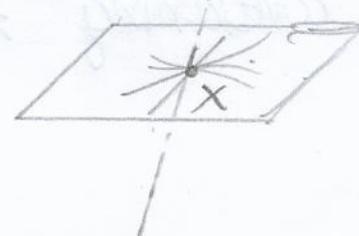
• Joints problem: Let L be a family of L lines
in \mathbb{R}^n . A joint formed by L is a point $x \in \mathbb{R}^n$:
the lines in L through x span \mathbb{R}^n .



$n=2$:



$n=3$:



$$\#J \lesssim \binom{L}{2}^n L^2$$

Conj: $\#J \lesssim L^{\frac{n}{n-1}}$ (2008: Quillardet / Kaplan-Sharir-Shusterman)

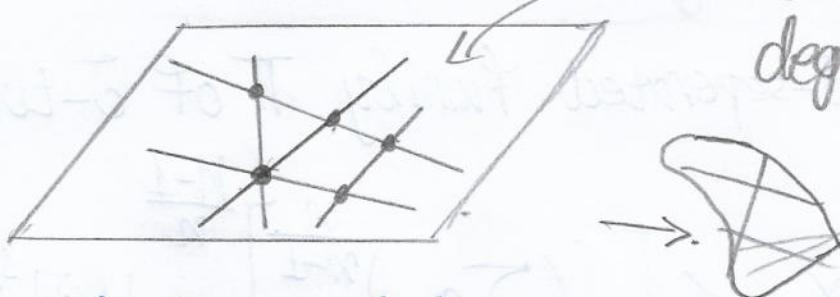
Q: Show: $\sum_{x \in J} \#\{\text{lines in } L \text{ through } x\}^{\frac{n}{n-1}} \lesssim (\log L) \cdot L^{\frac{n}{n-1}}$? (B)
 $(n=3)$

$\forall k$ dyadic, $J_k := \sum_{x \in J} 1/x$ lies in $\sim k$ lines in \mathbb{Z}^2

Goal: $|J_k| \leq C_\varepsilon \cdot \left(\frac{L}{k}\right)^{\frac{n}{n-1} + \varepsilon}$, $\forall \varepsilon > 0$.

Polynomial Partitioning. (Guth-Katz, 2010).

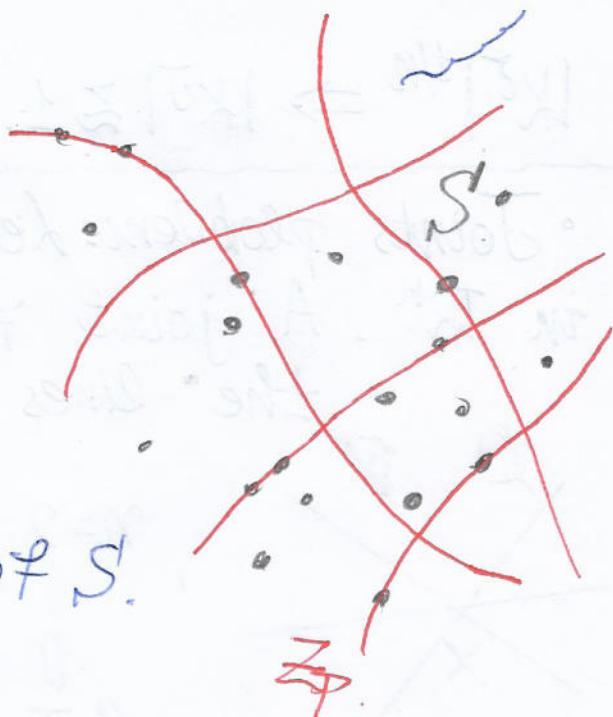
Parenthesis: Why does the polynomial method help?
zero set of polynomial of degree=1.

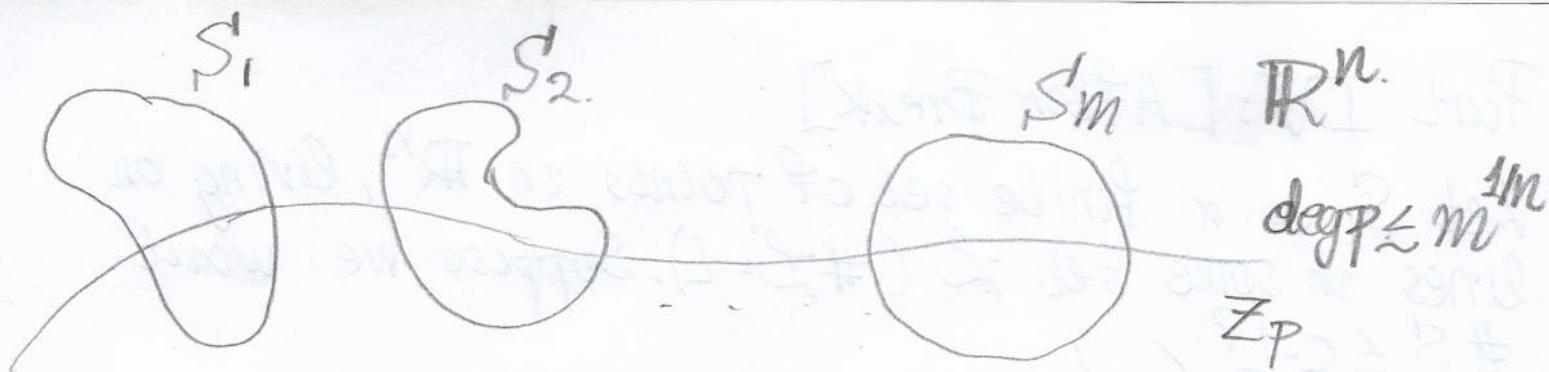


In point-line incidence problems, the "enemies" are the situations where the lines lie on zero sets of low degree polynomials.

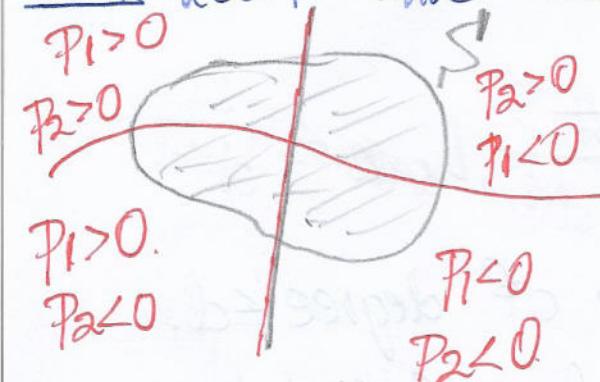
Let S' be a finite set of points in \mathbb{R}^n , $d \geq 1$.

The $\exists 0 \neq p \in \mathbb{R}[x_1, x_2, \dots, x_n]$ deg $p \leq d$ s.t. Z_p splits \mathbb{R}^n in $\sim d^n$ cells, with each cell containing $\sim \frac{\#S'}{d^n}$ points of S' .





Proof: Let S have finite, positive volume.



$\exists P_1, \deg P_1 \leq 1^{\frac{1}{n}}$, Z_{P_1} splits S in 2 cells, each cell contains $\frac{|S|}{2}$ mass of S .

$\cdot \deg(P_2) \leq 2^{\frac{1}{n}} \rightarrow 2^2$ cells, each with $\frac{|S|}{2^2}$ of the mass

\therefore (Do this again and again)

$\cdot \exists P_J, \deg P_J \leq (2^{J-1})^{\frac{1}{n}}$

$\rightarrow 2^J$ cells, each with $\frac{|S|}{2^J}$ of the mass.

and we stop when:

$$1^{\frac{1}{n}} + 2^{\frac{1}{n}} + \dots + (2^{J-1})^{\frac{1}{n}} = d.$$

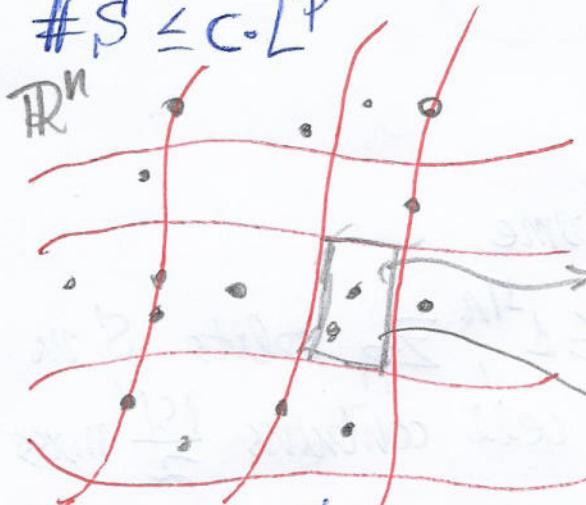
$$\Rightarrow (2^J)^{\frac{1}{n}} \sim d \Rightarrow 2^J \sim d^n$$

[Break].

Part IV: [After Break].

Let S' be a finite set of points in \mathbb{R}^n , living on lines in some set L ($\#L=L$). Suppose we want:

$$\#S' \leq C \cdot L^P$$



$$\#S'_{\text{cell}} \sim \frac{\#S'}{d^n}, L_{\text{cell}} \lesssim \frac{L}{d^{n-1}}$$

Partition S' using a polynomial p of degree $\leq d$.

Z_p splits \mathbb{R}^n in $\sim d^n$ cells, each cell contains $\lesssim \frac{\#S'}{d^n}$ pts of S' .

- Cellular case: > 50% of our pts in S' lie inside the cells.
- Algebraic case: > 50% $\Rightarrow \gg \gg$ lie on Z_p

Cellular case: > 99% of the cells contain $\sim \frac{\#S'}{d^n}$ pts of S' each.

• Any line l can enter $\leq d+1$ cells.

If l enter $> d+1$ cells \Rightarrow it would hit $Z_p > d$ times
 $\Rightarrow l \subseteq Z_p \Rightarrow l$ enters 0 cells

$$\text{So. } \sum_{\text{cells}} L_{\text{cell.}} = \sum_{l \in L} \underbrace{\#\{\text{cells } l \text{ enters}\}}_{\lesssim d} \lesssim L \cdot d.$$

$$\Rightarrow \frac{1}{d^n} \cdot \sum_{\text{cells}} L_{\text{cell.}} = \frac{1}{d^n} \cdot \sum_{l \in L} \#\{\text{cells } l \text{ enters}\} \lesssim \frac{L \cdot d}{d^n} = \frac{L}{d^{n-1}}$$

$L_{\text{cell.}} = \#\text{lines in } L \text{ that enter the cell.}$

We can prove: $> 2\% \text{ of the cells satisfy: } L_{\text{cell}} \leq \frac{L}{d^{n-1}}$

By induction on $\# L$:

$$\# S_{\text{cell}} \leq c \cdot L_{\text{cell}} \Rightarrow \frac{\# S}{d^n} \leq c \cdot \frac{L}{d^{(n-1)p}} \Rightarrow \\ \Rightarrow \# S \leq c \cdot A \cdot L \cdot \frac{1}{d^{(n-1)p-n}}$$

* When $(n-1)p-n > 0$, then the "bad" constant A can be absorbed.

Want: $(n-1)p-n > 0 \Leftrightarrow p > \frac{n}{n-1}$.

L : set of L lines in \mathbb{R}^n , $n=3$.

Fix K , $J_K := \{x \in J \mid x \text{ lies in } \sim K \text{ lines in } L\}$

joints.

Show: $\# J_K \leq C_\varepsilon \cdot \left(\frac{L}{K}\right)^{\frac{n}{n-1} + \varepsilon}$.

Lemma (Quiladron): Let J be a set of joints formed by L . Suppose $p \in \mathbb{R}[x_1, \dots, x_n]$ vanishes on J .

Then: $\# J \leq \deg(p) \cdot L$.

Proof: Step 1: $\exists l \in L: \#(J \cap l) \leq \deg(p)$.

Step 2: Throw away $\leq \deg(p)$ joints

$$L \left\{ \begin{array}{l} + \leq \deg(p) \text{ joints} \\ \leq \deg(p) \text{ joints.} \end{array} \right. \Rightarrow$$

$\Rightarrow \leq L \cdot \deg(p) \text{ joints.}$

Step 1: Suppose : each $l \in L$ contains $> \deg(P)$ jts.
 \Rightarrow each $l \in L$ lies in Z_p .
 $\Rightarrow (\forall x \in J) (l \nsubseteq \text{a tangent space to } Z_p \text{ at } x) \Rightarrow$
 $\Rightarrow \forall x \in J, \nabla p(x) = 0.$
 polys of lower degree
 vanishing on J .

• Contradiction: if we worked with p of lowest degree vanishing on J .
 $(\deg p \leq \#J^{\frac{n}{n-1}} \Rightarrow \#J \leq L^{\frac{n}{n-1}})$.

• Partition J_K with a polynomial P , $\deg(P) \leq d$.

$$\begin{aligned}
 \#J_{K, \text{cell}} &\leq C\varepsilon \cdot \left(\frac{L_{\text{cell}}}{K}\right)^{\frac{n}{n-1} + \varepsilon} \\
 &\leq C\varepsilon \cdot \left(\frac{L}{K}\right)^{\frac{n}{n-1} + \varepsilon} \cdot \left(\frac{L}{d^{n-1}}\right)^{\frac{n}{n-1} + \varepsilon} \\
 \Rightarrow \#J_K &\leq A \cdot \frac{d^n}{d^{n+e(n-1)}} \cdot C\varepsilon \cdot \left(\frac{L}{K}\right)^{\frac{n}{n-1} + \varepsilon}
 \end{aligned}$$

(****)

• Cellular case: $\varepsilon > 99\%$ of the cells contain $\frac{\#J_K}{d^n}$ pts of J_K each.

(****): $\Rightarrow \#J_K \leq C\varepsilon \cdot \left(\frac{L}{K}\right)^{\frac{n}{n-1} + \varepsilon}$, if d is large enough.

• Algebraic case: $> 50\%$ pts in J_K lie on Z_p and $\deg(p) = C\varepsilon$
 $\Rightarrow \#J_K \approx \deg(p) \cdot L \approx C\varepsilon \cdot L \ll C\varepsilon \cdot \left(\frac{L}{K}\right)^{\frac{n}{n-1} + \varepsilon}$

$$\int |E|^{n-\frac{2n}{n-1}}$$

the same $\int |E|^{n-\frac{2n}{n-1}} \cdot V_{\text{cell}}$
cell

Each l enters $\leq d+1$ cells, but
 α tube can enter more...

* We take α thickening of the zero set...



⇒ each tube enters $\leq d+1$ cells shrunk cells.

The End!