Intro to Language Models: How Generative AI Understands and Responds to Us

Eleni Verteouri

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November 20, 2024

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- Trained on large datasets of text to predict words and phrases.
- Examples of LLM families: GPT, Llama, Gemini and more

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Core Idea: Language models guess the next word based on context.

How Do They Work?

Key Concept: Sequence Probability

$$P(x_1, x_2, ..., x_n) = \prod_{i=1}^n P(x_i | x_1, ..., x_{i-1})$$

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Why Is This Useful?

- Helps generate meaningful text by understanding word relationships.
- Enables applications like:
 - Autocomplete (predicting your next word).
 - Text generation (creating new sentences).
 - Translation (understanding word context for accurate results).

Attention Formula:

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- K: Key Encodes the context or other words in the input.
- V: Value Holds the information we want to retrieve.
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How Does It Work?

- Compute similarity between the query (Q) and the keys (K) by calculating QK^T.
- **②** Scale the scores by dividing by $\sqrt{d_k}$ to ensure stable gradients.
- **③** Apply the **softmax function** to convert these scores into probabilities.

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Reference: Vaswani et al., Attention Is All You Need, NeurIPS 2017

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Tokenizers

What is a Tokenizer?

- A tokenizer maps a text sequence T into a sequence of tokens $\{t_1, t_2, \ldots, t_n\}.$
- Mathematically:

$$T \rightarrow \{t_1, t_2, \ldots, t_n\}, \quad t_i \in \mathcal{V}$$

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- **Popular Tokenizers:** Byte Pair Encoding (BPE), WordPiece **Tokenizers in the Context of LLMs:**
 - Tokenization is the first step in processing text for an LLM:

Raw text $T \rightarrow$ Tokens $\{t_1, t_2, \dots, t_n\} \rightarrow$ Embeddings

• The model receives token embeddings as inputs, which represent tokens numerically.

Embeddings: Representing Tokens Numerically

What are Embeddings?

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How are Embeddings Used?

- After tokenization, tokens {t₁, t₂,..., t_n} are converted to embeddings {e₁, e₂,..., e_n}.
- These embeddings are input to the language model for further processing.

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Positional Encoding: Capturing Sequence Order

Why Positional Encoding?

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$$\mathsf{PE}(pos, 2i) = \sin\left(\frac{pos}{10000^{\frac{2i}{d}}}\right), \quad \mathsf{PE}(pos, 2i+1) = \cos\left(\frac{pos}{10000^{\frac{2i}{d}}}\right)$$

where:

- pos: Position in the sequence.
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How Does it Work?

- Positional encodings generate unique patterns for each position in the sequence.
- These patterns are added to the input embeddings:

$$\mathsf{E}_{\mathsf{input}} = \mathsf{E}_{\mathsf{token}} + \mathsf{PE}^{\square}$$

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Cross-Entropy Loss: Training Language Models

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How is it Used?

- At each training step, the model predicts a probability distribution over the vocabulary for the next token.
- Cross-entropy loss is computed by comparing this distribution with the true next token.

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Reinforcement Learning with Human Feedback (RLHF)

What is RLHF?

- RLHF is a technique used to improve model alignment with human preferences.
- Combines:
 - Reinforcement learning (RL) for model optimization.
 - Feedback from humans to define what a "good" response looks like.

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Optimization Objective:

$$\max_{\theta} \mathbb{E}[R(f_{\theta}(x))]$$

where:

- f_{θ} : the model being optimized,
- x: input data, and
- R: the reward function from the reward model.

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Prompt Engineering: Teaching AI Through Inputs

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- The process of designing prompts to guide language model outputs.
- Prompts act as instructions or context for the model to generate desired responses.

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Optimization Goal:

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Why Prompt Engineering?

- Enhances the model's ability to follow specific instructions.
- Reduces errors or irrelevant responses by providing clearer context.
- Widely used in applications like zero-shot and few-shot learning.

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What is Few-Shot Learning?

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$P(y|x, \{(x_1, y_1), \dots, (x_k, y_k)\})$

where:

- x: New input.
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Why is it Important?

- Reduces the need for large labeled datasets.
- Enables models to handle novel tasks dynamically.

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Why is it Important?

- Improves reasoning and accuracy for complex tasks.
- Allows LLMs to handle multi-step problems effectively.

What is Temperature?

- Controls the randomness of token selection in LLM output.
- Scales the logits (model's raw token scores) before applying softmax.

Hyperparameter: Temperature

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Effect of Temperature:

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- T = 1: Standard sampling.
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Applications:

- Low T: Suitable for factual queries or tasks requiring precision.
- High T: Useful for creative tasks like poetry or storytelling. > ■

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Applications:

- Low *p*: Useful for tasks requiring coherent, precise outputs.
- High p: Encourages creative and exploratory outputs.

Retrieval-Augmented Generation (RAG): Enhancing Outputs

Definition: RAG enhances LLM outputs by incorporating external knowledge into the generation process.

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Steps in RAG:

- **Query Embedding:** $q = E_q(x)$
- **2** Document Retrieval: $D = \{d_1, d_2, ..., d_k\}$

Scoring:

$$score(q, d) = cos(E_q(q), E_d(d))$$

- Augmented Input: $x_{aug} = [x; D]$
- **5** Generation: $y = f_{\theta}(x_{aug})$

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Key Components:

- E_q , E_d : Embedding functions for queries and documents
- D: Retrieved documents
- x_{aug}: Augmented input combining original query and retrieved information

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Why Fine-Tune?

- Adapts the model to domain-specific tasks
- Retains general knowledge from pre-training while focusing on 📑 🔊

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Takeaway: You don't need to be an expert to start using AI effectively!

Thank you for attending!

Feel free to ask questions or share your thoughts.